



New Coordinator's Pathways Program

Session #4

Monitoring: Federal and Subgrantee

July 22, 2025 @2:00 - 3:00pm EST

Session Overview

Participants will:

- Re-state purpose of Pathways
- Explore the statutes surrounding monitoring
- Hear from Program Officers at the Department of Education on the federal monitoring process
- Here from a State coordinator who was recently monitored-Makesia Sumpter and Jennifer Rhodes
- Explore newly released resources that outline the SEAs responsibility to monitor

Introductions & Housekeeping

- Last Pathways of the contract year. Those that have been in role for under a year will be invited back and those in role for over a year will not receive an invite. Always welcome to ask to be included.
- evaluation at the end
- Name
- State
- Ice Breaker: "What was your favorite grade of school as a student?" OR if you were a teacher, "What was your favorite grade or subject to teach?"

Monitoring

- Have participants respond to the following two prompts: "What is the purpose of monitoring" and "Beyond formal monitoring, what are some other ways agency can monitor programs?"
- Share out some of the responses and facilitate discussion on the purpose of monitoring
- Share definition from [New Coordinator Handbook](#)
 - *Monitoring can be defined as a process to ensure compliance with applicable State and Federal laws. This includes:*

- The Elementary and Secondary Education Act (ESEA), as amended by the Every Student Succeeds Act (ESSA)
- Uniform administrative Requirements, Cost Principles, and Audit Requirements for federal Awards (Uniform Guidance: 2 Code of Federal Regulations (CFR) Part 200)
- EDGAR - Education Department General Administrative Regulations
- The monitoring process helps ED and SEAs to:
 - Ensure compliance
 - Identify technical assistance needs
 - Identify trends (both positive and negative)
 - Highlight promising practices
 - Build relationships with subgrantees
 - Mitigate risk

Federal Monitoring

- Discuss the difference between Federal and SEA monitoring. Explain we will start first with a discussion on Federal Monitoring
- Share with the group the [federal monitoring protocol](#)
- Introduction of Program Officer Jeff Buehler
 - Questions for Jeff:
 - Can you tell us a little about the overall process for selecting states to be monitored?
 - What is the difference between comprehensive monitoring and targeted monitoring?
 - If a state is selected for monitoring, how will they be notified and what does the pre-monitoring process and support look like?
 - What should a State coordinator expect during the actual monitoring? Who should be involved in the monitoring? How many days? How many subgrantees are interviewed?
 - How will State coordinators be made aware of any areas of non-compliance and what is the process for resolving these issues?
- Share [past federal monitoring reports](#) with State coordinators
- Introduction of State coordinator who was recently monitored: Makesia Sumpter, SC
 - Questions for State coordinator:
 - Leading up to the monitoring, what did you expect?
 - Going into the monitoring process, you had to prepare and upload a lot of information. How did you go about organizing, collecting, and posting all the artifacts?

- What did you learn about your own program from the monitoring process?
- What advice would you give a State coordinator that may be monitored in the next year or two?
- How did the monitoring experience compare to your expectations?

Subgrantee Monitoring

- Conduct a walk-through of the Subgrantee Monitoring Section in the [new coordinators handbook](#) highlighting the responsibilities and authority granted in the CFR. This discussion will include:
 - Risk assessments
 - Monitoring schedules
 - Monitoring protocols and guidelines
 - Ensuring LEAs and SAs are monitoring facilities
 - Monitoring follow-up
- Share with the group the NDTAC [Monitoring Menu](#) and walk through some of the key elements of this document and how they can use it

Questions

Evaluation

- NDTAC evaluation team will conduct an evaluation of the Pathways Program for this year during this session. This will take place the last 10-15 minutes of the session

Resources

- [TIPD main page](#)
- [Non-Regulatory Guidance](#)
- [federal monitoring protocol](#)
- [New Coordinator Handbook](#)
- [NDTAC Monitoring Menu](#)
- [past federal monitoring reports](#)
- [May 2023 NDTAC Webinar: Monitoring - An Overview of Federal and State Responsibilities | NDTAC: Technical Assistance Center for the Education of Youth Who Are Neglected, Delinquent, or At-Risk](#)

- [2022 NDTAC Webinar: Title I, Part D Program Monitoring Updates and Overview | NDTAC: Technical Assistance Center for the Education of Youth Who Are Neglected, Delinquent, or At-Risk](#)